



NEWS CIRCULATION POLICY BY AMBALAL SHARES & STOCKS PRIVATE LIMITED

(POLICY CREATED ON 23.03.2025)

Ambalal Shares & Stocks(P) Ltd

#2, Kewalchand Plaza, Ambalal Green City, Old
Bye Pass Road, Vellore - 632004.

Phone: +91 416 2227751-55

SEBI Reg No : Ambalal Shares & Stocks (P)
Ltd(ASSL) - INZ000219137;

Exchanges: NSE: 14329 / BSE: 6406 / MCX:
45965; CDSL - IN-DP-402-2019

Prepared By

Mr. Jawarilal Karan

Compliance Officer

Approved By

Mr. Jawarilal Jain

Designated Director

We **AMBALAL SHARES AND STOCKS PVT LTD**, having Our Registered Office at No 2 Kewalchand Plaza Ambalal green city Old bye pass Road Vellore 632004 . We are the Member of * National Stock Exchange of India Limited and Our Member ID: 14329* Bombay Stock Exchange Limited and Our Member ID: 6406 we are the Depository Participant of CDSL id is 12076000

Our Policy on Circulation of unauthenticated News is as follows:

Prohibition on circulation of unauthenticated News:

To Protect Investors to Stop Unauthenticated News Circulation by the Company's Employees/ Temporary Staff or other dealing person and by company Infrastructure. As per code of conduct for Stock Broker in SEBI (Stock Brokers and Sub-brokers) Regulations, 1992 and SEBI circular Cir/ISD/1/2011 dated March 23, 2011, all SEBI registered market intermediaries are required to have proper internal code of conduct to govern the conduct of its Employees.

In view of same, AMBALAL SHARES AND STOCKS PVT LTD implements code of conduct for communicating through various modes of communication. Company Directors/ Officers / Employees/ Temporary Staff /Voluntary Workers are prohibited from:

1. Circulation of unauthenticated news related to various Scrips in blogs/chat forums/e-mail etc.
2. Encouraging or circulating rumours or unverified information obtained from client, industry, any trade or any other sources without verification.
3. Either forwarding any market related news received in their official mail/personal mail/blog or in any other manner except after the same has been seen and approved by the Compliance Officer. Our Company Directors/ Officers / Employees/ Temporary Staff /Voluntary Workers are restricted from circulation of rumours or unverified information obtained from client, industry, any trade or other sources without verification. The Company Directors/ Officers / Employees/ Temporary Staff /Voluntary Workers will have to seek prior approval from Compliance Officer of

AMBALAL SHARES AND STOCKS PVT LTD before forwarding any market related news received by them either in their official mail/personal mail/blog or in any other manner and all the reporting with regard to violation of the same shall be done to the designated Compliance Officer. AMBALAL SHARES AND STOCKS PVT LTD Policy on Circulation of Unauthenticated News If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for disciplinary action. Access to Blogs/chat forums/messenger sites etc. has been restricted by AMBALAL SHARES AND STOCKS PVT LTD and is not allowed. This code can be modified/amended/alterd as required from time to time in compliance of the relevant provisions/regulations in this regard. Policy for unauthentic News Circulation for AMBALAL SHARES AND STOCKS PVT LTD The purpose of this policy is to avoid the unauthenticated news circulation related to various scrips by employees without adequate caution. It has been observed that market news circulated through blogs/chat forums / email by employees without adequate caution can do considerable damage to the normal functioning and behaviour of the market and distort the price discovery mechanisms. Therefore all the employees of the organization should follow internal code of conduct and controls of the company. Employees/Temporary staff/ voluntary workers etc working in the office will not encourage or circulate rumours or unverified information obtained from the client, industry and trade or any other sources without verification. Access Control: There is no Access to chat forums/ Messenger sites to all the staff. Only senior officials including Directors, Compliance Officer and Manager have the access to the said. All the logs of such sites shall be treated as records and are maintained by the compliance officer. Any information or market related news received by staff in official mail or their personal mail should be forwarded only after the same has been seen and approved by Mr. Karan, Compliance Officer. If any employee fails to follow these regulations he /she will be liable for strict actions.

For Ambalal Shares and Stocks Private Limited

Compliance Officer